

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT



Applicant:

Roger P. Jackson

Serial No.:

09/644,777

Date:

September 26, 2011

Filed:

August 23, 2000

Art Unit:

3732

Docket No.: 10,033

Examiner:

Candice Melson

For: THREADFORM FOR MEDICAL IMPLANT CLOSURE

Kansas City, Missouri

### **Mail Stop Amendment**

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

#### Sir:

Since filing the above noted application for patent, the following references listed on the attached PTO-1449, and below, have been disclosed, made of record and/or cited in a related U.S. or foreign application, and are possibly relevant to this application.

#### **US Patents and Published Patent Applications**

<u>Date</u>	<u>Patentee</u>	
May-2001	Lombardo	
Oct-2002	Biedermann, et al.	
Nov-2003	Ferree	
Aug-2004	Raynor, et al.	
Sep-2004	Landry et al	
Sep-2004	Richelsoph	
Mar-2005	Biederman et al	
Aug-2005	Biederman et al.	
Sep-2005	Dalton	
Feb-2006	Jackson	
Apr-1992	Edwards	
Feb-1983	Bollfrass, et al.	
Jul-1986	Blose	
	May-2001 Oct-2002 Nov-2003 Aug-2004 Sep-2004 Sep-2004 Mar-2005 Aug-2005 Sep-2006 Apr-1992 Feb-1983	

09/23/2011 EFLURES 00000049 09644777 01 FC:1806

## **US Patents and Published Patent Applications**

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<b>Document</b>	<b>Date</b>	<b>Patentee</b>
US-4,703,954	Nov-1987	Ortloff, et al.
US-5,129,388	Jul-1992	Vignaud, et al.
US-5,129,899	Jul-1972	Small et al
US-5,154,719	Oct-1992	Cotrel
US-5,176,483	Jan-1993	Baumann, et al.
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US-5,261,912	Nov-1993	Frigg
US-5,312,404	May-1994	Asher, et al.
US-5,360,431	Nov-1994	Puno, et al.
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US-5,443,467	Aug-1995	Biedermann, et al.
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US-5,591,166	Jan-1997	Bernhardt, et al.
US-5,669,911	Sep-1997	Errico, et al.
US-5,690,630	Nov-1997	Errico, et al.
US-5,725,528	Mar-1998	Errico, et al.
US-5,800,435	Sep-1998	Errico, et al.
US-5,817,094	Oct-1998	Errico, et al.
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US-5,910,142	Jun-1999	Tatar
US-5,944,465	Aug-1999	Janitzki
US-5,964,760	Oct-1999	Richelsoph
US-6,004,349	Dec-1999	Jackson
US-6,010,503	Jan-2000	Richelsoph, et al.
US-6,019,759	Feb-2000	Rogozinski
US-6,053,917	Apr-2000	Sherman, et al.
US-6,059,786	May-2000	Jackson
US-6,090,110	Jul-2000	Metz-Stavenhagen

JACKSON, Roger P. Serial No.: 09/644,777

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US Patents and Published I	Patent Applications	
<b>Document</b>	<b>Date</b>	<b>Patentee</b>
US-6,090,111	Jul-2000	Nivhols
US-6,102,913	Aug-2000	Jackson
US-6,132,432	Oct-2000	Richelsoph
US-6,146,383	Nov-2000	Studer, et al.
US-6,187,005	Feb-2001	Brace, et al.
US-6,224,596	May-2001	Jackson
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US-6,248,105	Jun-2001	Schlapfer, et al.
US-6,254,146	Jul-2011	Church
US-6,254,602	Jul-2001	Justis
US-6,273,888	Aug-2001	Justis
US-6,280,442	Aug-2001	Barker, et al.
US-6,287,308	Sep-2001	Betz, et al.
US-6,287,311	Sep-2001	Sherman, et al.
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US-6,554,834	Apr-2003	Crozet, et al.
US-6,565,565	May-2003	Yuan, et al.
US-6,565,567	May-2003	Haider
US-6,663,635	Dec-2011	Frigg et al
US-6,676,661	Jan-2011	Martin Benlloch et al
US-6,692,500	Feb-2011	Reed
US-6,695,843	Feb-2004	Biedermann, et al.
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US-RE37,161	May-2001	Michelson, et al.

JACKSON, Roger P. Serial No.: 09/644,777

### Non-US Patents and Published Patent Applications

<b>Document</b>	<u>Date</u>	<u>Patentee</u>
DE19507141	Sep-1996	Harms, et. al
EP1121902	Aug-2001	Ulrich
DE9202745.8	Apr-1992	Hauck et. al

### **Regarding Non-English References**

Unless provided herewith, applicant has no translation of the non-English references provided. The relevancy of such non-English references is believed to be found in the drawings associated with each.

#### Non-Patent Literature References

Brochure of Tyco/Healthcare/Surgical Dynamics on Spiral Radius 90D, Publication Date: September, 2001, pgs. 1-8

CD Horizon M8 Multi Axial Screw Spinal System Brochure, Medtronic Sofamor Danek, no publish date

Claris Instrumentation Brochure, G Med, pub. 1997

Contour Spinal System Brochure, Ortho Development, no publish date

EBI Omega 21 Brochure, EBI Spine Systems, pub. 1999

SDRS Surgical Dynamics Rod System Brochure, Surgical Dynamics, pub. 1998-99

Silhouette Spinal Fixation System Brochure, Sulzer Medica Spine-Tech, no publish date

Spine, Lipcott, Williams & Wilkins, Inc. Vol. 24, No. 15, p.1495

The Moss Miami 6.0mm System Advertisement, author unknown, no publish date

The Rod Plate System Brochure, Stryker Howmedica Osteonics, pub. Oct., 1999

The Strength of Innovation Advertisement, Blackstone Medical Inc., no publish date

Versalok Low Back Fixation System Brochure, Wright Medical Technology, Inc., pub. 1997

VLS System Variable Locking Screw Brochure, Interpore Cross International, 1999

Xia Spinal System Brochure, Stryker Howmedica Osteonics, no publish date

JACKSON, Roger P. Serial No.: 09/644,777

A fee in the amount of \$180.00, according to 37 CFR 1.17(p) is enclosed. If an additional fee is necessary, please charge it to deposit account number 50-1253.

Submission of this document is not intended to imply that the cited references are prior art with respect to this application and/or that the cited art is analogous to the invention of this application. It is especially noted that some of these references will have an effective date that is subsequent to the filing date and/or priority date of the present application.

Respectfully Submitted, Roger P. Jackson

 $\mathbf{R}_{\mathbf{V}}$ 

John C. McMahon Reg. No. 29,415 Attorney

JCM:emsm PO Box 30069 Kansas City, Missouri 64112

Phone: (816) 531-3470

#### **CERTIFICATE OF MAILING:**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

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Mail Stop Amendment Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450 on: September 26, 2011.

Roger P. Jackson (Applicant)

By

September 26, 2011 (Date of Signature)

O A	Substitut	e for form 144	9/PTO		Complete if Known	
۲,	<b>.</b>	NEORM	ATION	N DISCLOSURE	Application Number	09/644,777
	3/11.01				Filing Date	August 23, 2000
bs	9 2011	9 2011 STATEMENT BY APPLICANT	First Named Inventor	JACKSON, Roger P.		
		se as mar	ny she	ets as necessary)	Art Unit	3732
8/11	ADEMARK		Examiner Name	Candice Melson		
	Sheet	1	of	6	Attorney Docket Number	10,033

Examiner Initials*	Cite No.1	Document Number Number <sup>3</sup> Kind <sup>4</sup> Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
_		US-2001-0001119	May-2001	Lombardo	
		US-2002/0143341	Oct-2002	Biedermann, et al.	
		US-2003/0022063	Nov-2003	Ferree	
		US-2004/0162560	Aug-2004	Raynor, et al.	
		US-2004/0172022	Sep-2004	Landry et al	
		US-2004/0193160	Sep-2004	Richelsoph	
		US-2005/0055026	Mar-2005	Biederman et al	
		US-2005/0171542	Aug-2005	Biederman et al.	
		US-2005/0192580	Sep-2005	Dalton	
		US-2006/0025771	Feb-2006	Jackson	
		US-4,369,769	Apr-1992	Edwards	
		US-4,373,754	Feb-1983	Bollfrass, et al.	
		US-4,600,224	Jul-1986	Blose	
		US-4,703,954	Nov-1987	Ortloff, et al.	
		US-5,129,388	Jul-1992 .	Vignaud, et al.	
		US-5,129,899	Jul-1972	Small et al	
-		US-5,154,719	Oct-1992	Cotrel	
		US-5,176,483	Jan-1993	Baumann, et al.	
		US-5,217,497	Jun-1993	Mehdian	
		US-5,261,912	Nov-1993	Frigg	
		US-5,312,404	May-1994	Asher, et al.	
		US-5,360,431	Nov-1994	Puno, et al.	

Examiner	Date		
Signature	Considered		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with

<sup>1</sup> Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. \$Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

Substitut	e for form 1	L449/PTO		Complete if Known		
	INFOR	ΜΑΤΙΟ	N DISCLOSURE	Application Number	09/644,777	
			BY APPLICANT	Filing Date	August 23, 2000	
				First Named Inventor	JACKSON, Roger P.	
(۱	Jse as m	nany sho	eets as necessary)	Art Unit	3732	
		Examiner Name	Candice Melson			
Sheet	2	of	6	Attorney Docket Number	10,033	

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		US-5,429,639	Jul-1995	Judet	
		US-5,443,467	Aug-1995	Biedermann, et al.	
		US-5,466,237	Nov-1995	Byrd, III, et al.	
		US-5,474,551	Dec-1995	Finn et al	
	_	US-5,476,464	Dec-1995	Metz-Stavenhagen	
		US-5,545,165	Aug-1996	Biedermann, et al.	
		US-5,554,157	Sep-1996	Errico, et al.	
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		US-5,591,166	Jan-1997	Bernhardt, et al.	
		US-5,669,911	Sep-1997	Errico, et al.	
·		US-5,690,630	Nov-1997	Errico, et al.	
		US-5,725,528	Mar-1998	Errico, et al.	•
		US-5,800,435	Sep-1998	Errico, et al.	
		US-5,817,094	Oct-1998	Errico, et al.	
		US-5,879,350	Mar-1999	Sherman, et al.	
		US-5,885,286	Mar-1999	Sherman, et al.	
		US-5,891,145	Apr-1999	Morrison, et al.	
		US-5,910,142	Jun-1999	Tatar	
		US-5,944,465	Aug-1999	Janitzki	
		US-5,964,760	Oct-1999	Richelsoph	
		US-6,004,349	Dec-1999	Jackson	

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Examiner	Date
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Substitute for form 1449/PTO Complete if Known				,	
	INFOR	RMATIC	N DISCLOSURE	Application Number	09/644,777
	STATEMENT BY APPLICANT		Filing Date	August 23, 2000	
				First Named Inventor	JACKSON, Roger P.
(ر	(Use as many sheets as necessary)			Art Unit	3732
, , , , , , , , , , , , , , , , , , , ,		Examiner Name	Candice Melson		
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		US-6,010,503	Jan-2000	Richelsoph, et al.	
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		US-6,053,917	Apr-2000	Sherman, et al.	
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Signature	Considered	

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		US-6,432,109	Aug-2011	Letendart et al	
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Sheet	5	of	6	Attorney Docket Number	10,033	

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		DE19507141	Sep-1996			
		EP1121902	Aug-2001			
		G9202745.8	Apr-1992			

Examiner	 •	Date	
Signature		Considered	

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			Examiner Name	Candice Melson			
Sheet	6	of	6	Attorney Docket Number	10,033		

		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine,	
Examiner Initials*	Cite No.1	journal, serial, symposium, catalog, etc.), date, page(s), volume-issue numbers(s), publisher, city and/or country where published.	T²
		Brochure of Tyco/Healthcare/Surgical Dynamics on Spiral Radius 90D,	
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Examiner	Date		
Signature	Considered		
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with

next communication to applicant.

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.